

**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **METHODOLOGY FOR TESTING SPREADSHEETS**, the specification of which:

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as  
Application Serial No. \_\_\_\_\_

☐ and was amended on \_\_\_\_\_  
(if applicable)

☐ with amendments through \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Sec. 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, Sec. 119(e) of any United States provisional application listed below:

09438084-111099  
660777-4308E460

Provisional Application No.

Filing Date

60/125,414

March 22, 1999

I hereby claim the benefit under Title 35, United States Code, Sec. 120 of any United States application(s), or Sec. 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, Sec. 112. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(App. Serial No.)

(Filing Date)

(Status -patented, pending, etc.)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Full name of sole or first inventor:** Gregg Evan Rothermel

Inventor's signature:



10/28/99  
(Date)

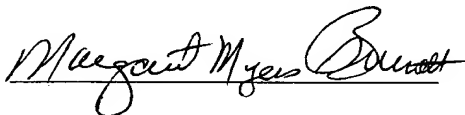
Residence: Albany, Oregon

Citizenship: U.S.A.

Post Office address: 1850 NW Crocker Lane  
Albany, Oregon 97321

**Full name of second co-inventor:** Margaret Myers Burnett

Inventor's signature:



10/28/99  
(Date)

Residence: Corvallis, Oregon

Citizenship: U.S.A.

Post Office address: P.O. Box 699  
Corvallis, Oregon 97339

**Full name of second co-inventor:** Lixin Li

Inventor's signature: \_\_\_\_\_ (Date)

Residence: Corvallis, Oregon

Citizenship: U.S.A.

Post Office address: 440 NW 23<sup>rd</sup> Street  
Corvallis, Oregon 97330

09138084-11099  
55077-18082460

Citizenship: U.S.A.

Post Office address: P.O. Box 699  
Corvallis, Oregon 97339

Full name of second co-inventor: Lixin Li

Inventor's signature:



11/02/1999  
(Date)

Residence: Annandale, Virginia

Citizenship: People's Republic of China

Post Office address: 4706 Nathan Hale Drive, Apartment 101  
Annandale, Virginia 22003

55077-4302450

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: Gregg Evan Rothermel, Margaret Myers Burnett and Lixin Li

Serial or Patent No.:

Filed or Issued:

For: METHODOLOGY FOR TESTING SPREADSHEETS

**DECLARATION CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27 (b)) INDEPENDENT INVENTOR**

As a below-named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled METHODOLOGY FOR TESTING SPREADSHEETS described in the

☒ specification filed herewith

☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_,

☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☐ no such person, concern, or organization

☒ persons, concerns or organizations listed below\*

\*NOTE: Separate statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME: Oregon State University

ADDRESS: A312 Kerr Administration Building, Corvallis, Oregon 97331-2140

☐ individual ☐ small business concern ☒ nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Full name of sole or first inventor: Gregg Evan Rothermel

Inventor's signature:

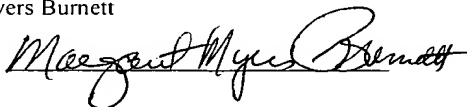


10/28/99

(Date)

Full name of second co-inventor: Margaret Myers Burnett

Inventor's signature:



10/28/99

(Date)

Full name of third co-inventor: Lixin Li

Inventor's signature:

\_\_\_\_\_

(Date)

650777-1808E-60

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: Gregg Evan Rothermel, Margaret Myers Burnett and Lixin Li

Serial or Patent No.:

Filed or Issued:

For: METHODOLOGY FOR TESTING SPREADSHEETS

**DECLARATION CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27 (b)) INDEPENDENT INVENTOR**

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☒ specification filed herewith

☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_,

☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☐ no such person, concern, or organization

☒ persons, concerns or organizations listed below\*

\*NOTE: Separate statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME: Oregon State University

ADDRESS: A312 Kerr Administration Building, Corvallis, Oregon 97331-2140

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**Full name of sole or first inventor:** Gregg Evan Rothermel

Inventor's signature: \_\_\_\_\_

\_\_\_\_\_  
(Date)

**Full name of second co-inventor:** Margaret Myers Burnett

Inventor's signature: \_\_\_\_\_

\_\_\_\_\_  
(Date)

**Full name of third co-inventor:** Lixin Li

Inventor's signature: \_\_\_\_\_

  
\_\_\_\_\_  
(Date)

11/02/99  
(Date)

650117-1808E160

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Gregg Evan Rothermel, Margaret Myers Burnett and Lixin Li

Serial No.:

Filed:

For: METHODOLOGY FOR TESTING SPREADSHEETS

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST  
AND REVOCATION OF PRIOR POWERS

I, Wilson C. "Toby" Hayes, Vice President for Research, OREGON STATE UNIVERSITY, whose address is A312 Kerr Administration Building, Corvallis, Oregon 97331-2140, assignee of all right, title and interest of the above-described U.S. patent application, by the assignment submitted under separate cover for recordal (copy enclosed), represent that I am empowered to sign on behalf of assignee, OREGON STATE UNIVERSITY, as evidenced by the attached copy of the Confirmation of Agent's Authority in Connection with U.S. and Foreign Patent Applications.

As assignee of the above-identified application, all powers of attorney previously given are hereby revoked and the following attorneys and/or patent agents are hereby appointed to file a U.S. patent application based on this provisional application, to file a corresponding international application, to prosecute and transact all business in the Patent and Trademark Office connected therewith:

Customer No. 20575

<u>Attorney Name</u>	<u>Registration No.</u>
Jerome S. Marger	26,480
Alexander C. Johnson, Jr.	29,396
Alan T. McCollom	28,881
James G. Stewart	32,496

09438084-111099

Glenn C. Brown	34,555
Stephen S. Ford	35,139
Gregory T. Kavounas	37,862
Scott A. Schaffer	38,610
Joseph S. Makuch	39,286
James E. Harris	40,013
Graciela G. Cowger	42,444
Ariel Rogson	43,054
Craig R. Rogers	43,888

Direct all telephone calls to Alexander C. Johnson, Jr. at (503) 222-3613 and send all correspondence to:

MARGER JOHNSON & McCOLLOM, P.C.  
1030 S.W. Morrison Street  
Portland, Oregon 97205

**OREGON STATE UNIVERSITY**

Date:

10/25/99

William Hostetler  
William Hostetler  
Director of Technology Transfer

09438084-111059



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: Gregg Evan Rothermel, Margaret Myers Burnett and Lixin Li

Serial or Patent No.:

Filed or Issued:

For: METHODOLOGY FOR TESTING SPREADSHEETS

**DECLARATION CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27 (d)) NONPROFIT ORGANIZATION**

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below.

NAME OF ORGANIZATION Oregon State University

ADDRESS OF ORGANIZATION A312 Kerr Administration Building  
Corvallis, Oregon 97331-2140

TYPE OF ORGANIZATION

- ☒ University or other institution of higher education.  
☐ Tax exempt under IRS Code (26 USC 501(a) and 501(c)(3))  
☐ Nonprofit scientific or educational under statute of state of The United States of America (Name of state \_\_\_\_\_ Citation of statute \_\_\_\_\_)  
☐ Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)) if located in The United States of America  
☐ Would qualify as nonprofit scientific or educational under statute of state of The United States of America if located in The United States of America (Name of state \_\_\_\_\_ Citation of statute \_\_\_\_\_)

I hereby state that the nonprofit organization identified above qualifies as a non-profit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled METHODOLOGY FOR TESTING SPREADSHEETS by inventor(s) Gregg Evan Rothermel, Margaret Myers Burnett and Lixin Li described in:

☒ the specification filed herewith.

☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_

☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I hereby state that rights under contract or law have been conveyed to and remain with the non-profit organization with regard to the above-identified invention.

If the rights held by the non-profit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e). \*NOTE: Separate statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME:

ADDRESS:

☐ individual ☐ small business concern ☒ nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING: William Hostetler

TITLE OF PERSON OTHER THAN OWNER: Director of Technology Transfer

ADDRESS OF PERSON SIGNING: Oregon State University, A312 Kerr Administration Building, Corvallis,  
Oregon 97331-2140

Signature William Hostetler

Date 10/25/99